

**PATENT APPLICATION FEE DETERMINATION RECORD**  
Substitute for Form PTO-976

**NON-REFUNDABLE DETERMINANT  
Substitute for Form PTO-97A**

Application or Docked Number

**APPLICATION AS FILED - PART I**

(Column 1)

FOR	(Column 1)		(Column 2)		SMALL ENTITY OR OTHER THAN SMALL ENTITY
	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEES (\$)	
BASIC FEE (37 CFR 1.16(q), (b), or (c))					
SEARCH FEE (37 CFR 1.16(k), (l), or (m))					
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))					
TOTAL CLAIMS (37 CFR 1.16(l))	minus 20 =		X	=	X
INDEPENDENT CLAIMS (37 CFR 1.16(n))	minus 3 =		X	=	X
APPLICATION SIZE FEE (37 CFR 1.16(s))	(if the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).)				
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))					
* If the difference in column 1 is less than zero, enter "0" in column 2.					
TOTAL					
APPLICATION AS AMENDED - PART II					

\* If the difference in column 1 is less than zero, enter "0" in column 1.

\* If the difference in column 1 is less than zero, enter "0" in column 2

**APPLICATION AS AMENDED - PART II**

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If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 If the "Highest Number Previously Paid For" IN THIS BOX IS:  
 The "Highest Number Previously Paid For" IN THIS BOX IS:

**The Highest Number Previously Paid For IN THIS SPACE Is less than 20, enter "20".**  
**If the Highest Number Previously Paid For In This Space Is less than 3, enter "3".**  
**The Highest Number Previously Paid For In This Space Is less than 1, enter "1".**

**The Highest Number Previously Paid For** (Total or independent of the collection of information).

The Highest Number Previously Paid For (Total or Independently) is the highest number found in the appropriate box in column 1 of the collection of information required by 37 CFR 1.16. The information is required to obtain or retain a patent or registration to process all application. Confidentiality is guaranteed to all applicants.

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*(If you need assistance, contact the Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20591.)*

The collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments or amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-8199 and select option 2.